

09/26/01 JC846 U.S. PTO

09-27-01

#4/Pre Mmt A  
Amend  
2/07/02

In the United States Patent and Trademark Office

Mailed 2001, 26 September

Box Patent Application  
Commissioner for Patents  
Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: Wil McCarthy  
Applicant #2, Name: Gary E. Snyder  
Title: "Quantum Dot Fiber"

☒ Specification, Claims, and Abstract: Nr. of Sheets 21

☒ Declaration: Date Signed: 26 Sept 2001

☒ Drawing(s): Nr. of Sheets Enc.: Formal: 4 Informal:       

☒ Small Entity Declaration of Inventor(s) ☐ SED of Non-Inventor / Assignee / Licensee

☐ Assignment enclosed with cover sheet and recordal fee; please record and return.

☒ Payment by ☒ check ☐ credit card (form PTO-2038 attached) in the amount of \$ 355.00 for:

☒ \$ 355.00 for filing fee (not more than three independent claims and twenty total claims are presented).

☐ \$        additional if Assignment is enclosed for recordal.

☒ Information Disclosure Statement, Form PTO-1449, and listed references.

☐ Disclosure Document Program reference letter.

☒ Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. 60/312264,  
filed 13 August 2001.

☒ Return Receipt Postcard Addressed to Applicant #1.

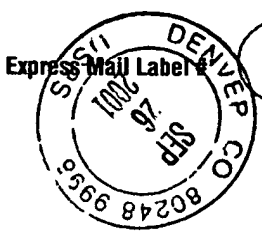
☒ **Request Under MPEP § 707.07(i):** The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

☒ **Request for Non-Publication:** The undersigned requests that this application not be published 18 months after filing under 35 USC 122(6)(1). The undersigned certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country or under a multilateral international agreement that requires publication of applications 18 months after filing. The undersigned understands that if this application is filed in a foreign country or under such a multilateral international agreement, the PTO must be notified of such filing or the application will be regarded as abandoned.

Very respectfully,

Applicant #1 Signature [Signature]  
939 S. Pierson Ct.  
Address (Send Correspondence Here) Lakewood, CO 80226

Applicant #2 Signature [Signature]  
5022 120TH AVE E  
Address EDMUND WA 98372



ET 002528 99/US

; Date of Deposit 2001, 26 Sept

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Fee Transmittal

First-Named Applicant Wil McCarthy  
Title of Invention: "Quantum Dot Fiber"  
Total Payment Enclosed (From Calculation Below): \$ \_\_\_\_\_ ☒ Check ☐ Money Order  
☐ Credit Card (attach Form PTO-2038)

Sir:

Enclosed is the following small entity filing fee for the above patent application:

Fee Code	Fee Description	Fee (\$)
214	Provisional Pat. Appn. Filing Fee	—
201	Basic Utility Appn. Filing Fee	<u>\$355.00</u>
206	Basic Design Appn. Filing Fee	—
	Subtotal (1)	<u>\$355.00</u>
203	Total Claims: <u>8</u> - 20 = _____; X _____ (fee for each claim over 20) =	<u>\$0.00</u>
202	Tot. Indep. Claims <u>2</u> - 3 = _____; X _____ (fee for each indep. claim over 3) =	<u>\$0.00</u>
	Subtotal (2)	<u>\$0.00</u>
	Total Payment Enclosed (Sum of Subtotals (1) and (2))	<u>\$355.00</u>

Very respectfully,

Signature of First-Named Applicant

Print Name of First-Named Applicant

Address

939 S. Pierson Ct.

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